

1AP7 Rec'd PCT/PTO 31 AUG 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER DOL11505 US	
INTERNATIONAL APPLICATION NO. PCT/US2005/006359		INTERNATIONAL FILING DATE 28 Feb 2005 (28.02.2005)	
TITLE OF INVENTION MULTICHANNEL AUDIO CODING		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/591374 PRIORITY DATE CLAIMED 1 March 2004 (01.03.2004)	
APPLICANT(S) FOR DO/EO/US MARK FRANKLIN DAVIS			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.			
2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.			
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4. <input checked="" type="checkbox"/> The US has been elected (Article 31).			
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <div style="margin-left: 20px;"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </div>			
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <div style="margin-left: 20px;"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). </div>			
7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <div style="margin-left: 20px;"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. </div>			
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). with attached ADS			
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11 to 20 below concern document(s) or information included:			
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13. <input checked="" type="checkbox"/> A preliminary amendment.			
14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. attached to Declaration			
15. <input type="checkbox"/> A substitute specification.			
16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter . by Assignee			
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.			
18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).			
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).			

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/591374</div>	INTERNATIONAL APPLICATION NO. PCT/US2005/006359	ATTORNEY'S DOCKET NUMBER DOL11505 US										
20. Other items or information: Certificate of Express Mailing Eleven (11) Sheets of Formal Drawings 2d copies of PTO-1390, PTO-1595 and last page of Preliminary Amendment for Dep. Acct. Auth. use Return Receipt Postcard												
The following fees have been submitted		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 60%;">CALCULATIONS</th> <th style="width: 40%;">PTO USE ONLY</th> </tr> </table>	CALCULATIONS	PTO USE ONLY								
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21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 300.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 300.00									
\$ 300.00												
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 200.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 200.00									
\$ 200.00												
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 500.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 500.00									
\$ 500.00												
TOTAL OF 21, 22 and 23 =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 1,000.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 1,000.00									
\$ 1,000.00												
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"></td> <td style="width: 40%;"></td> </tr> </table>										
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">Total Sheets</th> <th style="width: 15%;">Extra Sheets</th> <th style="width: 30%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width: 40%;">RATE</th> </tr> <tr> <td style="text-align: center;">- 100 =</td> <td style="text-align: center;">/50 =</td> <td></td> <td style="text-align: center;">x \$250</td> </tr> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	- 100 =	/50 =		x \$250	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ -0-</td> <td style="width: 40%;"></td> </tr> </table>		\$ -0-	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE									
- 100 =	/50 =		x \$250									
\$ -0-												
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ -0-</td> <td style="width: 40%;"></td> </tr> </table>	\$ -0-									
\$ -0-												
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 15%;">NUMBER FILED</th> <th style="width: 15%;">NUMBER EXTRA</th> <th style="width: 40%;">RATE</th> </tr> <tr> <td style="text-align: center;">Total claims</td> <td style="text-align: center;">36 - 20 =</td> <td style="text-align: center;">16</td> <td style="text-align: center;">x \$ 50</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	36 - 20 =	16	x \$ 50	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 800.00</td> <td style="width: 40%;"></td> </tr> </table>		\$ 800.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE									
Total claims	36 - 20 =	16	x \$ 50									
\$ 800.00												
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">Independent claims</td> <td style="width: 15%;">5 - 3 =</td> <td style="width: 15%;">2</td> <td style="width: 40%;">x \$200</td> </tr> </table>	Independent claims	5 - 3 =	2	x \$200	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 400.00</td> <td style="width: 40%;"></td> </tr> </table>		\$ 400.00					
Independent claims	5 - 3 =	2	x \$200									
\$ 400.00												
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 360.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 360.00								
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TOTAL OF ABOVE CALCULATIONS =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 2,560.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 2,560.00									
\$ 2,560.00												
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">-0-</td> <td style="width: 40%;"></td> </tr> </table>	-0-									
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SUBTOTAL =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 2,560.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 2,560.00									
\$ 2,560.00												
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). +		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ -0-</td> <td style="width: 40%;"></td> </tr> </table>	\$ -0-									
\$ -0-												
TOTAL NATIONAL FEE =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 2,560.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 2,560.00									
\$ 2,560.00												
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 40.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 40.00									
\$ 40.00												
TOTAL FEES ENCLOSED =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 2,600.00</td> <td style="width: 40%;"></td> </tr> </table>	\$ 2,600.00									
\$ 2,600.00												
		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Amount to be refunded:</td> <td style="width: 40%;">\$</td> </tr> </table>	Amount to be refunded:	\$								
Amount to be refunded:	\$											
		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Amount to be charged</td> <td style="width: 40%;">\$ 2,600.00</td> </tr> </table>	Amount to be charged	\$ 2,600.00								
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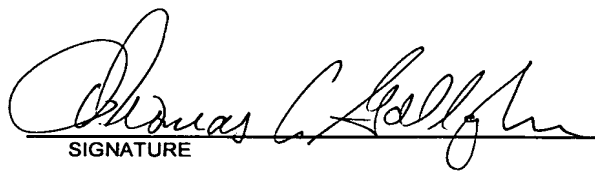
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- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 07-0137 in the amount of \$2600.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-0137. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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San Francisco, CA 94108-2805
Telephone: (415) 989-8080
Facsimile: (415) 989-0901



SIGNATURE

Thomas A. Gallagher

NAME

24,815

REGISTRATION NUMBER

10/591374
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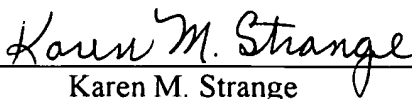
PATENT COOPERATION TREATY

PCT/U.S. RECEIVING OFFICE

Applicants: Mark Franklin Davis)
Application No.: Unassigned)
Filing Date August 31, 2006)
Corresponding To: PCT/US2005/006359)
Attorney Docket No.: DOL11505 US)
Title: Multichannel Audio Coding)
Express Mail Certificate)

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

I hereby certify that this United States PCT national stage patent application, transmittal letter and the documents referred to therein as enclosed are being deposited with the United States Postal Service on August 31, 2006 as "Express Mail," mailing label number **EV 539509005 US**, in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.


Karen M. Strange

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Facsimile: (415) 989-0910

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